



TFW 1731

PTO/SB/21 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box →

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	10/559,598
Filing Date	December 2, 2005
First Named Inventor	Juha Lipponen
Group Art Unit	1731
Examiner Name	
Attorney Docket Number	FORSAL-108

Total Number of Pages in This Submission

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts Under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (For an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) And Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication To Group
- ☐ Appeal Communication to Board Of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (Please identify below):

- Request to Correct Erroneous Record on Filing Receipt with attached copy of Filing Receipt with changes noted thereon

Remarks

The Commissioner is hereby authorized to charge any additional fees that may be required with respect to this communication, or credit any overpayment, to Deposit Account No. 50-2663

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Patrick J. G. Stiennon, Reg. No. 34934
Signature	
Date	March 30, 2006

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450; Alexandria, VA 22313-1450 on this date:		March 30, 2006
Typed or printed name	Patrick J. G. Stiennon, Reg. No. 34934	
Signature		Date March 30, 2006



In The United States Patent And Trademark Office

Applicant: Juha Lipponen et al.

Date: March 30, 2006

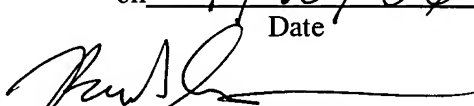
371 date: January 3, 2006

Docket No.: FORSAL-108

App. No.: 10/559,598

Art Unit: 1731

For: Method and Apparatus in the Surface Sizing of a Paper or Board Web

<p style="text-align: center;">Certificate of Mailing</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:</p> <p style="text-align: center;">Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>on <u>4/30/06</u> Date</p> <p style="text-align: center;"> Signature</p> <p style="text-align: center;"><u>Patrick J.G. Stiennon, Reg. No. 34934</u> Name of applicant, assignee or Registered Representative</p>
---

**Request to Correct Erroneous Record on Filing Receipt**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

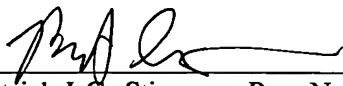
Dear Sir or Madam:

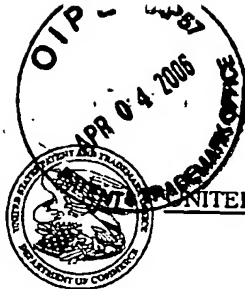
Responsive to the Filing Receipt dated March 10, 2006, applicant hereby requests that the following errors be corrected to reflect the information on the executed PTO/SB/01 Declaration:

The third and fourth inventors – **Juha Pakarinen, Jyväskylä, FINLAND** and **Kari Holopainen, Muurame, FINLAND** – are missing and the foreign application priority date should be **06/05/2003**.

A copy of the Filing Receipt with these changes noted thereon is enclosed. It is respectfully requested that the missing inventors be added and the foreign priority date be corrected.

Respectfully submitted,

  
\_\_\_\_\_  
Patrick J.G. Stiennon, Reg. No. 34934  
Attorney for Applicant  
Stiennon & Stiennon  
612 W. Main St., Suite 201; P.O. Box 1667  
Madison, Wisconsin 53701-1667  
(608) 250-4870

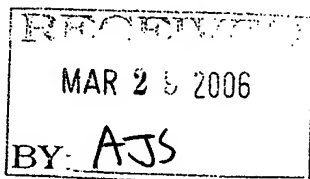


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/559,598	01/03/2006	1731	2080	FORSAL-108	9	41	3

36528  
 STIENNON & STIENNON  
 612 W. MAIN ST., SUITE 201  
 P.O. BOX 1667  
 MADISON, WI 53701-1667



CONFIRMATION NO. 1519

## FILING RECEIPT



\*OC000000018234851\*

Date Mailed: 03/10/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Juho Lipponen, Jarvenpaa, FINLAND;  
 Pekka Pakarinen, Jyvaskyla, FINLAND;  
 Juhani Vestola, Jyvaskyla, FINLAND;  
 Arto Tuomi, Jarvenpaa, FINLAND;

Juha Pakarinen, Jyvaskyla, FINLAND  
 Kari Holopainen, Muurame, FINLAND

**Power of Attorney:** The patent practitioners associated with Customer Number 36528.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/FI04/00345 06/07/2004

## Foreign Applications

FINLAND 20030842 06/05/2005 → 06/05/2003

If Required, Foreign Filing License Granted: 03/08/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/559,598**

Projected Publication Date: 06/15/2006

Non-Publication Request: No

**Early Publication Request:** No

**Title**

Method and apparatus in the surface sizing of a paper or board web

**Preliminary Class**

162

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).